



An Equal Opportunity/Affirmative Action Employer

Announcement of Intention to Fill Multiple Job Vacancies

Senior Attorney, SG-25

(Positions may be filled at the Trainee Level)

Location: One Commerce Plaza, Albany
One State Street, New York City

Business Unit: Life Bureau

Negotiating Unit: Professional, Scientific and Technical (PEF)

Please note that a change in negotiating unit may affect your salary, insurance and other benefits.

Salary: \$63,108 - \$80,248 (Assistant Attorney 1 SG-18)

\$69,934 - \$88,721 (Assistant Attorney 2, SG-20)

\$77,600 - \$98,252 (Assistant Attorney 3, SG-22)

\$90,806 - \$114,444 (Senior Attorney, SG-25)

Positions located within the New York City metropolitan area, as well as Suffolk, Nassau, Rockland, and Westchester Counties, are also eligible to receive an additional \$3,087 annual downstate adjustment.

Appointment Status: Permanent

The New York State Department of Financial Services seeks to build an equitable, transparent, and resilient financial system that benefits individuals and supports business. Through engagement, data-driven regulation and policy, and operational excellence, the Department and its employees are responsible for empowering consumers and protecting them from financial harm; ensuring the health of the entities we regulate; driving economic growth in New York through responsible innovation; and preserving the stability of the global financial system.

The Department of Financial Services (DFS) is seeking candidates for the position of Senior Attorney in the Life Bureau. Under the supervision of an Associate Attorney, the Senior Attorney's duties will include, but not be limited to, the following:

- Reviews individual and/or group annuity contracts, individual and/or group life insurance policies, credit life policies, credit disability policies, credit unemployment policies, life settlement contracts and other product filings submitted for approval by authorized insurers or subject to market conduct review to determine compliance with applicable statutes, regulations and circular letters;
- Evaluates industry trade practices, identifies legal issues and applies public policy in determining acceptability of the content and marketing of policy forms;
- Prepares memoranda on new legal or public policy issues identified in policy form submission or market conduct review and makes proper recommendations in formulation of new Department position;
- Prepares memoranda and supporting material and assists the Office of General Counsel in preparation of administrative hearing regarding disapproval or withdrawal of approval forms;
- Attends meetings or participates in telephone conferences with industry representatives to discuss policy form filings or market conduct matters;
- Drafts legislative proposals with supporting memoranda, regulations with required SAPA materials, and circular letters;
- Critiques or assists in the analysis of draft legislation or regulations proposed by other bureaus, agencies, insurance companies, trade groups or legislators, which affect insurance products and the marketing of such products in New York;

- Assists in the revision of Department product outlines and other guidance documents;
- Participates in Department task forces with industry or other governmental agencies in drafting legislation and regulations;
- Prepares reports on new industry practices, new distribution methods, new products and compliance issues;
- Performs legal research of statutes, regulations, and court decisions that are pertinent to the life insurance industry;
- Responds to consumer complaints and legislative inquiries, inquiries from industry representatives or from within the Department concerning life insurance policies and annuity contracts including interpretation of contract provisions and rights and obligations of the parties to the contract;
- Assists field examiners in the identification and research of various issues arising during market conduct examinations;
- Assists in the processing of violations of the Insurance Law relating to the approval process including coordination with other units or Bureaus such as the Office of General Counsel and the Consumer Assistance Unit;
- Processes policy forms in a timely and efficient manner in view of the Bureau's present volume of submissions;
- Maintains files in an orderly fashion to ensure proper response times and makes efficient use of support staff;
- Coordinates review of files with actuaries and other Life Bureau personnel; and
- Assists in the training and/or mentoring of new attorneys.

Appointment method:

Transfer: Candidates must have one year of permanent competitive service in a title eligible for transfer via Section 70.1 of the Civil Service Law. Information regarding transfer eligibility may be available on the Civil Service Career Mobility Office website at <http://careermobilityoffice.cs.ny.gov/cmo/>.

Please Note that under Civil Service Law, an employee cannot have two consecutive upward 70.1 or 52.6 transfers without an intervening eligible list appointment if such transfers would exceed two salary grades.

55 b/c Appointment: Candidates must meet the eligibility requirements of the Governor's Program to Hire Individuals and Veterans with Disabilities as described in Civil Service Law § 55b/c. Information about this program can be found here: <https://www.cs.ny.gov/rp55/>

To Apply: Interested qualified candidates must submit a resume and letter of interest **no later than October 31, 2023** to the email address listed below. Please include the Box # **Senr Att LIFE** in the subject line of your email to ensure receipt of your application. **Email submissions are preferred.**

Tess Reepmeyer
Box Senr Att LIFE
New York State Department of Financial Services
Office of Human Resources Management
One Commerce Plaza, Suite 301
Albany, NY 12257
Email: Tess.Reepmeyer@dfs.ny.gov
Fax: (518) 402-5071

All candidates that apply may not be scheduled for an interview

Public Service Loan Forgiveness

Employment with New York State qualifies an individual for Public Service Loan Forgiveness (PSLF). This program forgives the remaining balance on any Direct Student Loans after you have made 120 qualifying monthly payments while working full-time for a U.S. federal, state, local, or tribal government or not-for-profit organization. To learn more about the program and whether you would qualify, please visit: <https://studentaid.gov/manage-loans/forgiveness-cancellation/public-service>

AMERICANS WITH DISABILITIES ACT: REASONABLE ACCOMMODATIONS

Under the Americans with Disabilities Act (ADA), the Department of Financial Services is required to provide reasonable accommodation for known physical or mental limitations of an otherwise qualified applicant with a disability if an accommodation would enable the applicant to perform the essential functions of the job. If the accommodation would constitute an undue hardship on the operations of the agency, the agency is not required to provide it.

Pursuant to Executive Order 161, no State entity, as defined by the Executive Order, is permitted to ask, or mandate, in any form, that an applicant for employment provide his or her current compensation, or any prior compensation history, until such time as the applicant is extended a conditional offer of employment with compensation. If such information has been requested from you before such time, please contact the Office of Employee Relations at (518) 473-3130 or via email at response@oer.ny.gov.